# Smaller hippocampal volume predicts pathologic vulnerability to psychological trauma

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In animals, exposure to severe stress can damage the hippocampus. Recent human studies show smaller hippocampal volume in individuals with the stress-related psychiatric condition posttraumatic stress disorder (PTSD). Does this represent the neurotoxic effect of trauma, or is smaller hippocampal volume a pre-existing condition that renders the brain more vulnerable to the development of pathological stress responses? In monozygotic twins discordant for trauma exposure, we found evidence that smaller hippocampi indeed constitute a risk factor for the development of stress-related psychopathology. Disorder severity in PTSD patients who were exposed to trauma was negatively correlated with the hippocampal volume of both the patients and the patients' trauma-unexposed identical co-twin. Furthermore, severe PTSD twin pairs—both the trauma-exposed and unexposed members—had significantly smaller hippocampi than non-PTSD pairs.

Animal research has provided compelling evidence that exposure to severe and chronic stress can damage the hippocampal formation<sup>1,2</sup>, a region best known for its role in declarative memory<sup>3,4</sup>. Such studies point to a neurotoxic role for corticosteroids, elevated levels of which cause atrophy and/or cell death in hippocampal neurons. This has led to the proposal that a similar process may occur in humans, and thereby mediate specific stressrelated disease processes. Of particular relevance is the psychiatric condition of posttraumatic stress disorder (PTSD), a constellation of disabling behavioral and emotional symptoms that occur in some individuals who experience severe psychological trauma such as combat, sexual abuse or natural disaster. Indeed, several structural magnetic resonance imaging (MRI) studies report smaller hippocampal volume in patients diagnosed with chronic, unremitting forms of PTSD<sup>5-8</sup>. These results have generated intense interest regarding a potential pathogenesis for this disorder, and they raise the possibility that psychological trauma may in fact induce neurological damage in humans.

Controversy exists, however, over the nature and source of smaller hippocampal volume in PTSD<sup>9–12</sup>. The fundamental question at the heart of this controversy is whether volumetric differences represent the consequence of traumatic exposure or a pre-existing trait that predisposes people to pathological stress reactions to a traumatic event. This latter formulation is consistent with the fact that only some individuals exposed to trauma go on to develop PTSD<sup>13,14</sup>. The National Vietnam Veterans

Readjustment Study<sup>13</sup>, for example, has estimated the prevalence of PTSD in Vietnam combat veterans to be 30.6%. Furthermore, animal research shows that inherited variations in hippocampal size can influence behavioral outcomes in stress-mediated conditioning procedures<sup>15–17</sup> and can alter neuroendocrine responses to stress<sup>18</sup>. To date, there have been no human studies that directly address this important controversy.

In the present study, we used a 'case-control' design (Fig. 1) to examine samples of male monozygotic twin pairs in which one twin was a Vietnam combat veteran (exposed, Ex) and his identical co-twin had no combat exposure (unexposed, Ux). In some twin pairs, the combat-exposed brother developed chronic PTSD, whereas in other twin pairs the combat veteran never developed PTSD. Based on the diagnosis of the combat-exposed brother, we classified twin pairs into two groups: PTSD (P+) and non-(that is, never had) PTSD (P–). The P+ or P– designation always refers to the combat-related PTSD status of the exposed twin (no unexposed twin in this study had PTSD). Because monozygotic twins are genetically identical, any differences in hippocampal volume between brothers were interpreted as evidence for environmental effects, such as stress-induced neurotoxicity. Alternatively, any differences in hippocampal volume between the unexposed brothers of PTSD combat veterans (UxP+) versus the unexposed brothers of non-PTSD combat veterans (UxP-) were taken as evidence for a pre-existing trait. Amygdala and total brain volume served as controls. Our results indicate that small-

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Fig. 1. Discordant monozygotic twin paradigm for assessing MRI differences in PTSD. Sample coronal MRI images of right (red) and left (blue) hippocampi in a PTSD and a non-PTSD twin pair. Images represent four subject groups: (1) combat-exposed (Ex) subjects who developed chronic PTSD (ExP+); (2) their combat-unexposed (Ux) co-twins with no PTSD themselves (UxP+); (3) Ex subjects who never developed PTSD (ExP-) and (4) Ux cotwins also with no PTSD (UxP-). Contrast (a) provides a replication of previous work demonstrating smaller hippocampal volumes in combat veterans with versus without PTSD. Contrast (b) identifies the neurotoxicity effecthippocampal reduction-as environmentally acquired, by contrasting hippocampal volumes in combat-exposed PTSD veterans with their unexposed co-twins. Contrast (c) examines pre-existing vulnerability by contrasting hippocampal volumes in the two groups of combat-unexposed co-twins whose combat-exposed brothers did versus did not develop PTSD. Model is tested by a diagnosis (P+ versus P–)  $\times$  exposure (Ex versus Ux) ANOVA. Diagnosis refers to combat-exposed twin only. If hippocam-



pal volume represents a vulnerability factor, the model predicts a significant main effect of diagnosis in the absence of a diagnosis  $\times$  exposure interaction (that is, PTSD combat-exposed veterans and their unexposed co-twins show the same pattern). If hippocampal reduction results from neurotoxicity, the model predicts a significant main effect of exposure and/or a significant diagnosis  $\times$  exposure interaction.

er hippocampal volume constitutes a pre-existing vulnerability factor for pathological response to stress.

# RESULTS

**Brain volume correlations with post-trauma symptoms** Within-pair correlations for MRI brain volumes in the total sample were all highly significant (total brain volume: r = 0.90, P < 0.0001; total hippocampus: r = 0.73, P < 0.0001; total amygdala: r = 0.67, P < 0.0001). Within the ExP+ subjects, there was a significant negative relationship (r = -0.64, P = 0.006) between total hippocampal volume and PTSD symptom severity, as measured by the Clinician-Administered PTSD Scale (CAPS) score (Fig. 2a). Thus, the hippocampal volume of exposed individuals was smaller in those with more severe PTSD symptoms. Importantly, there was also a significant negative correlation between



hippocampal volume in UxP+ subjects and PTSD severity in their ExP+ brothers (r = -0.70, P = 0.002; Fig. 2b), indicating that smaller hippocampal volume in identical co-twins who were not themselves exposed to combat was nonetheless related to more severe PTSD symptoms in their combat-exposed brothers. Adjusting for total brain volume, PTSD severity in the ExP+ twin remained significantly associated with both ExP+ (r = -0.54, P = 0.03) and UxP+ (r = -0.61, P = 0.01) hippocampal volumes. This indicates that the association between more PTSD symptoms in veterans and smaller hippocampal volumes in themselves and their co-twins were not explained by smaller overall brain volume. We did not find any significant correlations between PTSD severity and amygdala or total brain volume.

Combat severity (measured by a standardized combat exposure scale; see Methods) was not significantly related to total hippocampal volume in any of the subject groups (ExP+, r = -0.32, P = 0.21; all Ex combined, r = -0.08, P = 0.64; UxP+, r = -0.11, P = 0.66; all Ux combined, r = 0.01, P = 0.97). Thus, the intensity level of stressful exposure in combat was not predictive of hippocampal volume in either exposed veterans or in their unexposed co-twins. A continuous measure of alcohol abuse history (Michigan Alcoholism Screening Test, MAST) was found to be related only to right hippocampal volume in ExP+ subjects (r = -0.51, P = 0.04). However, this relationship was not evident in UxP+ subjects (r = 0.09, P = 0.73). Furthermore, the relationship between total hippocampal volume in unexposed cotwins and PTSD symptom severity in their combat-exposed brothers remained significant after controlling for the effects of their own alcohol history (r = -0.70, P = 0.004). Thus, whereas alcohol history had some relationship to hippocampal volume in combat veterans with PTSD, it was not related to hippocampal volume in their combat-unexposed brothers.

### Brain volume differences in twin pair groups

Comparison of severe PTSD cases (total CAPS > 65; see Methods) with non-PTSD cases (Fig. 3) yielded a highly significant main effect of diagnosis on total hippocampal volume (Table 1).

**Fig. 2.** Hippocampal volume correlations with post-trauma symptoms. Scatter plots illustrate relationship of symptom severity in combat veterans with PTSD to: (**a**) their own hippocampal volumes and (**b**) the hippocampal volumes of their identical twin brothers who were not exposed to combat. Symptom severity represents the total score received on the Clinician-Administered PTSD Scale (CAPS).



This result was unchanged after controlling for age (analysis of covariance (ANCOVA):  $F'_{1,65} = 8.63$ , P = 0.005), combat severity in the Ex twin  $(F'_{1,65} = 6.72, P = 0.01)$  and number of noncombat traumatic life events ( $F'_{1,62} = 4.67, P = 0.03$ ). Neither the main effect of exposure, nor the diagnosis × exposure interaction, was significant. Thus, hippocampal volumes were smaller in both the exposed and unexposed members of twin pairs in which the combat-exposed brother developed more severe PTSD, but there was no difference in hippocampal volume between brothers, regardless of combat or PTSD status. We did not find any significant effects for comparisons involving amygdala or total brain volumes.

The main effect of diagnosis on hippocampal volume remained significant after removal of all subjects who reported childhood sexual or physical abuse ( $F_{1,54} = 4.77, P = 0.03$ ), and hippocampal volumes did not differ between abused and nonabused subjects in P+ twin pairs ( $t_{32} = 0.40$ , P = 0.69) or in the sample as a whole ( $t_{78} = 0.95$ , P = 0.34). Therefore, a previous history of childhood abuse was not relevant to the overall results.

The same pattern of statistical significance persisted with the addition of the excluded PTSD outlier (Methods) and when regional volumes were tested as a percentage of total brain volume. In fact, diagnosis remained a highly significant factor when controlling for overall brain volume (ANCOVA,  $F'_{1,65} = 8.32$ , P = 0.005) and amygdala volume ( $F'_{1,65} = 9.95, P = 0.002$ ). Thus, the observed hippocampal volume differences were specific relative to other brain regions examined. No significant main effects

Fig. 3. Total hippocampal volumes for four subject groups. Scatter plot illustrates absolute hippocampal volumes (ml) for combat-exposed individuals with and without PTSD, as well as for their respective unexposed co-twins. Data are only presented for PTSD twin pairs in which the combat-exposed twin had a CAPS score >65.

or interactions were observed in the two-factor ANOVA for hippocampal volumes in the full sample, which included PTSD subjects with total CAPS scores less than 65. Therefore, group differences emerged only when examining PTSD subjects with more severe symptoms and their co-twins.

## Demographic and comorbidity features in twin pairs

ExP+ subjects had greater combat severity and PTSD symptom severity than ExP- subjects (Table 2). Age and education were similar between groups, although P+ pairs were slightly older. The highly significant interaction between diagnosis and exposure on the MAST indicates that combat veterans with PTSD had more severe alcohol abuse histories than the other three groups. No significant MAST score difference was found between UxP+ and UxP- subjects (CAPS > 65 subsample comparison,  $t_{30} = 1.0$ , P = 0.31), indicating that severity of alcohol abuse history did not explain the hippocampal differences in unexposed co-twins of PTSD versus non-PTSD combat veterans. For number of potentially traumatic lifetime events (non-combat related), PTSD combat veterans reported more of these than did non-PTSD combat veterans, and more events than their own unexposed co-twins. No significant difference was found in the reported number of traumatic lifetime events between UxP+ and UxP- subjects (CAPS > 65 subsample comparison,  $t_{31} = 1.01$ , P = 0.32), thus arguing against the relevance of lifetime non-combat trauma in the unexposed subjects as the explanation for the observed hippocampal volume differences in our sample. Within non-combat traumas, 29% of ExP+ subjects versus 13% of ExP- subjects (P = 0.25, Fisher's exact test) and 24% of UxP+ versus 9% of UxPsubjects (P = 0.37) reported childhood sexual or physical abuse.

Lifetime comorbid alcohol abuse and dependence diagnoses were more frequent in ExP+ (82%) versus ExP- (43%) veterans (P = 0.02), but there was no significant difference in rates between UxP+ (47%) and UxP- (30%) co-twins (P = 0.34). The same pattern was found for group rates of lifetime other substance abuse or dependence disorders (53% for ExP+ versus 9% for

Table 1. MRI brain volumes (ml) for severe PTSD subjects (CAPS > 65).												
<b>PTSD</b> ( <i>n</i> = 24)		<b>Non-PTSD</b> ( <i>n</i> = 46)		Two-factor ANOVA								
Exposed Unexposed		Exposed	Unexposed	Diagnosis		Exposure		Interaction				
(n = 12)	( <i>n</i> = 12)	( <i>n</i> = 23)	( <i>n</i> = 23)	F <sub>1,66</sub>	Р	F <sub>1,66</sub>	Р	F <sub>1,66</sub>	Р			
1221 (108)	1239 (125)	1258 (106)	1246 (112)	0.61	0.44	0.01	0.92	0.28	0.60			
6.66 (0.83)	6.75 (0.90)	7.41 (0.93)	7.25 (0.69)	8.73	0.004	0.03	0.87	0.34	0.56			
3.32 (0.59)	3.26 (0.39)	3.76 (0.54)	3.61 (0.48)	9.63	0.003	0.62	0.43	0.13	0.72			
3.34 (0.46)	3.49 (0.57)	3.65 (0.50)	3.63 (0.45)	3.35	0.07	0.29	0.59	0.40	0.53			
4.65 (0.87)	4.53 (1.24)	4.45 (0.67)	4.61 (0.86)	0.07	0.80	0.01	0.92	0.40	0.53			
2.37 (0.52)	2.58 (0.64)	2.34 (0.39)	2.46 (0.48)	0.37	0.54	1.76	0.19	0.12	0.73			
2.27 (0.49)	1.95 (0.68)	2.11 (0.47)	2.15 (0.58)	0.02	0.90	1.06	0.31	1.76	0.19			
	Produmes (m           (n =           Exposed           (n = 12)           1221 (108)           6.66 (0.83)           3.32 (0.59)           3.34 (0.46)           4.65 (0.87)           2.37 (0.52)           2.27 (0.49)	Exposed         Unexposed $(n = 24)$ $(n = 12)$ Exposed $(n = 12)$ 1221 (108)         1239 (125)           6.66 (0.83)         6.75 (0.90)           3.32 (0.59)         3.26 (0.39)           3.34 (0.46)         3.49 (0.57)           4.65 (0.87)         4.53 (1.24)           2.37 (0.52)         2.58 (0.64)           2.27 (0.49)         1.95 (0.68)	PTSD           PTSD         Non $(n = 24)$ (n =           Exposed         Unexposed         Exposed $(n = 12)$ $(n = 12)$ $(n = 23)$ 1221 (108)         1239 (125)         1258 (106)           6.66 (0.83)         6.75 (0.90)         7.41 (0.93)           3.32 (0.59)         3.26 (0.39)         3.76 (0.54)           3.34 (0.46)         3.49 (0.57)         3.65 (0.50)           4.65 (0.87)         4.53 (1.24)         4.45 (0.67)           2.37 (0.52)         2.58 (0.64)         2.34 (0.39)           2.27 (0.49)         1.95 (0.68)         2.11 (0.47)	65).           PTSD $(n = 24)$ $(n = 24)$ $(n = 24)$ Exposed $(n = 12)$ $(n = 23)$ I221 (108)         1239 (125)         I258 (106)         I246 (112)           6.66 (0.83)         6.75 (0.90)         7.41 (0.93)         7.25 (0.69)           3.32 (0.59)         3.26 (0.39)         3.76 (0.54)         3.61 (0.48)           3.34 (0.46)         3.49 (0.57)         3.65 (0.50)         3.63 (0.45)           4.65 (0.87)         4.53 (1.24)         4.45 (0.67)         4.61 (0.86)           2.37 (0.52)         2.58 (0.64)         2.34 (0.39)         2.46 (0.48)           2.27 (0.49)         1.95 (0.68)         2.11 (0.47)         2.15 (0.58)	PTSD subjects (CAPS > 65).           PTSD $(n = 24)$ Non-PTSD $(n = 46)$ Exposed Unexposed $(n = 12)$ Constant of the system of th	65).         PTSD Non-PTSD ( $n = 24$ ) ( $n = 46$ )         Exposed ( $n = 12$ )       Exposed ( $n = 23$ )       Diagnosis ( $n = 23$ )         1221 (108)       1239 (125)       1258 (106)       1246 (112)       0.61       0.44         6.66 (0.83)       6.75 (0.90)       7.41 (0.93)       7.25 (0.69)       8.73       0.004         3.32 (0.59)       3.26 (0.39)       3.76 (0.54)       3.61 (0.48)       9.63       0.003         3.34 (0.46)       3.49 (0.57)       3.65 (0.50)       3.63 (0.45)       3.35       0.07         4.65 (0.87)       4.53 (1.24)       4.45 (0.67)       4.61 (0.86)       0.07       0.80         2.37 (0.52)       2.58 (0.64)       2.34 (0.39)       2.46 (0.48)       0.37       0.54         2.27 (0.49)       1.95 (0.68)       2.11 (0.47)       2.15 (0.58)       0.02       0.90	65).         PTSD Non-PTSD (n = 24)         (n = 46)         Exposed (n = 12)       Constant (n = 23)       Diagnosis (n = 23)       Exposed (n = 23)         (n = 12)       (n = 12)       (n = 23) $F_{1,66}$ P $F_{1,66}$ 1221 (108)       1239 (125)       1258 (106)       1246 (112)       0.61       0.44       0.01         6.66 (0.83)       6.75 (0.90)       7.41 (0.93)       7.25 (0.69)       8.73       0.004       0.03         3.32 (0.59)       3.26 (0.39)       3.76 (0.54)       3.61 (0.48)       9.63       0.003       0.62         3.34 (0.46)       3.49 (0.57)       3.65 (0.50)       3.63 (0.45)       3.35       0.07       0.29         4.65 (0.87)       4.53 (1.24)       4.45 (0.67)       4.61 (0.86)       0.07       0.80       0.01         2.37 (0.52)       2.58 (0.64)       2.34 (0.39)       2.46 (0.48)       0.37       0.54       1.76         2.27 (0.49)       1.95 (0.68)       2.11 (0.47)       2.15 (0.58)       0.02       0.90       1.06	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\begin{array}{c c c c c c c c c c c c c c c c c c c $			

Data given as mean (s.d.).

Follow-up t-tests:

Total hippocampus: ExP+ versus ExP-,  $t_{33} = 2.32$ , P = 0.03; UxP+ versus UxP-,  $t_{33} = 1.83$ , P = 0.08; ExP+ versus UxP+,  $t_{11} = 0.38$ , P = 0.71; ExP- versus UxP-,  $t_{22} = 1.11$ , P = 0.28. Right hippocampus: ExP+ versus ExP-,  $t_{33} = 2.23$ , P = 0.03; UxP+ versus UxP-,  $t_{33} = 2.17$ , P = 0.04; ExP+ versus UxP+,  $t_{11} = 0.30$ , P = 0.77; ExP- versus UxP-,  $t_{22} = 1.42$ , P = 0.17. Left hippocampus: ExP+ versus ExP-,  $t_{33} = 1.74$ , P = 0.09; UxP+ versus UxP-,  $t_{33} = 0.85$ , P = 0.40; ExP+ versus UxP+,  $t_{11} = 1.31$ , P = 0.22; ExP- versus UxP-,  $t_{22} = 0.09$ , P = 0.93.

	PTSD		Non-PTSD		Two-factor ANOVA					
	<b>Exposed</b> ( <i>n</i> = 17)	Unexposed (n = 17)	<b>Exposed</b> ( <i>n</i> = 23)	Unexposed (n = 23)	Diagnosis		Exposure		Interaction	
					F <sub>1,76</sub>	Р	F <sub>1,76</sub>	Р	F <sub>1,76</sub>	Р
Age (years)	53.1 (3.3)	53.1 (3.3)	51.8 (2.3)	51.8 (2.3)	3.8	0.05	-	-	-	-
Education (years)	13.5 (2.6)	14.3 (2.8)	14.7 (2.4)	14.7 (2.6)	1.8	0.18	0.45	0.51	0.55	0.46
MAST*	20.2 (17.6)	6.8 (10.4)	2.4 (4.5)	2.5 (4.0)	22.8	<0.001	8.4	0.005	8.5	0.005
Non-combat trauma <sup>†</sup> (number of events)	7.9 (2.6)	5.3 (3.8)	5.1 (4.0)	4.2 (3.0)	6.1	0.02	4.9	.03	1.3	0.26
Combat severity	7.9 (1.9)	-	3.5 (2.6)	-	67.4	<0.001	-	-	-	-
CAPS <sup>‡</sup>	72.2 (16.6)	-	6.2 (7.3)	-	577.7	<0.001	-	-	-	-

Data given as mean (s.d.).

\*Michigan Alcoholism Screening Test. Data is missing for one PTSD pair and two non-PTSD pairs. Follow-up t-tests: ExP+ versus ExP-,  $t_{37} = 4.63$ , P < 0.0001; UxP+ versus UxP-,  $t_{35} = 1.70$ , P = 0.09; ExP+ versus UxP+,  $t_{15} = 2.92$ , P = 0.01; ExP- versus UxP-,  $t_{20} = 0.14$ , P = 0.89. Tilifetime number of non-combat potentially traumatic events. Data is missing for one PTSD pair and two non-PTSD pairs. Follow-up t-tests: ExP+ versus ExP-,  $t_{37} = 2.51$ , P = 0.02; UxP+ versus UxP-,  $t_{36} = 0.96$ , P = 0.34; ExP+ versus UxP+,  $t_{15} = 2.51$ , P = 0.02; ExP- versus UxP,  $t_{20} = 0.64$ , P = 0.53. \*Clinician-Administered PTSD Scale (total symptom score)

ExP-, P = 0.003; 6% for UxP+ versus 13% for UxP-, P = 0.62) and lifetime Major Depressive Disorder (59% for ExP+ versus 13% for ExP-, P = 0.005; 6% for UxP+ versus 4% for UxP-, P = 0.99). Therefore, with regard to history of alcohol/substance abuse and major depression, combat veterans with PTSD showed significantly elevated rates compared with combat veterans without PTSD, whereas the unexposed co-twins of the former did not show significantly elevated rates compared to the unexposed cotwins of the latter.

#### DISCUSSION

Consistent with previous reports<sup>5–8</sup>, we found smaller hippocampal volume in trauma-exposed persons diagnosed with more severe, unremitting PTSD. The finding of a 10% difference in total hippocampal volume between individuals with versus without PTSD is in line with previously reported volumetric differences, as is the finding of predominantly right hippocampal differences<sup>5,19,20</sup>

The key finding here concerns the identical twins of the higherseverity PTSD combat veterans who were not themselves exposed to combat; they showed hippocampal volumes that were comparable to their combat-exposed brothers but significantly smaller than those of combat veterans without PTSD and their non-combat-exposed twins. These data indicate that smaller hippocampi in PTSD represent a pre-existing, familial vulnerability factor rather than the neurotoxic product of trauma exposure per se. Further support for this conclusion comes from the highly significant correlation that we found between the hippocampal volume of combat-unexposed co-twins and the PTSD severity of their combat-exposed brothers. The high concordance of hippocampal volume within twin pairs, as well as the lack of a significant combat exposure effect or diagnosis × exposure interaction in our statistical model, provide clear evidence against the neurotoxicity hypothesis, as monozygotic co-twins provide the ideal biological control for detecting exposure-based differences. In light of the current findings, reference to hippocampal 'atrophy' in PTSD may be a misnomer.

We have also addressed the potential impact of confounding factors in the interpretation of hippocampal volume differences. In most non-twin studies, the presence of comorbid conditions precludes clear attribution of biological alterations to the psychiatric condition of PTSD alone. Most notably, major depression and alcohol abuse constitute comorbid conditions with high prevalence rates in PTSD<sup>21</sup> that could ostensibly also influence

hippocampal volume<sup>22-25</sup>. Although previous studies have attempted to statistically control for such confounds, the controls can still be unsound if the covariate differs between groups<sup>26</sup>. If alcohol abuse and depression are secondary consequences of more severe PTSD, the effect of 'controlling for' these variables may simply be to remove variance associated with more severe PTSD. Our study design uniquely circumvented these difficulties. Specifically, the PTSD combat veterans with smaller hippocampi predictably showed higher rates of major depression and more severe alcohol histories, but their combat-unexposed twin brothers, who showed comparably small hippocampi, did not. These results effectively exclude these comorbid conditions as a source of hippocampal volume differences in PTSD. Moreover, the association of hippocampal volume with PTSD remained significant after adjusting for combat severity, which in this study was not significantly associated with hippocampal volume. This argues against the possibility that subjects with smaller hippocampi were more likely to be selected for high combat roles. These data do not counter the idea that more severe combat exposure results in more severe PTSD; rather, they suggest hippocampal volume to be a predictor of PTSD severity independent of combat severity.

A limitation of the present study relates to the fact that hippocampal differences, as revealed by structural MRI, may not apply to all populations of individuals diagnosed with PTSD. More specifically, pre-existing decreased hippocampal volume may only be related to severe and unremitting forms of posttraumatic stress responses. All studies to date that have found smaller hippocampal volume in PTSD, including the findings reported here, have involved individuals with chronic, unremitting forms of the disorder; that is, intense symptoms which persist for years, and in many cases, decades. In fact, group differences in hippocampal volume only emerged in our sample when we examined PTSD individuals with a CAPS symptom severity score above 65. Failures to replicate findings of reduced hippocampal volume in PTSD have typically been reported in studies that involved subjects with PTSD of lower severity and/or shorter duration<sup>27-29</sup>. Over 40% of those diagnosed with PTSD show remission within the first year after traumatic exposure<sup>30,31</sup>, with a continued, more gradual remission rate for approximately six years<sup>14</sup>. Such individuals may clearly differ from those who develop long-standing, unremitting posttraumatic symptoms.

Hippocampal morphology and function have been implicated in conditioning and extinction of fear responses in animals, and may be involved in the contextual processing of fear<sup>32,33</sup>. Rodents with hippocampal lesions show stronger conditioned fear, as evidenced by more rapid acquisition of an avoidance response to an auditory cue paired with shock, as well as more fear behavior following acquisition, than do non-lesioned animals<sup>34,35</sup>. Similar alterations in fear-mediated performance have also been shown in mice with genetically smaller hippocampi<sup>15-17</sup>. Smaller hippocampal volume may also predispose an animal to diminished neuroendocrine regulation of the hypothalamic-pituitary-adrenal axis, as has been shown in monkeys who inherit smaller hippocampi and respond to stressful rearing conditions with larger cortisol elevations<sup>18</sup>. As a vulnerability factor for PTSD, smaller hippocampal volume might therefore predispose individuals to acquire stronger and/or more persistent conditioned emotional responses, or stronger hormonal stress responses, when exposed to a traumatic event<sup>36</sup>.

Heredity is the most likely explanation for the origin of the smaller hippocampi observed in PTSD combat veterans and their twins in this study<sup>18,37–40</sup>. In the absence of dizygotic twin subjects, however, the effects of heredity could not be separated from those of shared environment. We did find a non-significant trend for P+ pairs to share higher rates of childhood abuse, but this did not account for the observed hippocampal differences. Additionally, unexposed co-twins of PTSD veterans did not share with their brothers a general increase in lifetime number of reported non-combat trauma or stressor incidents, further diminishing the relevance of shared environment. Moreover, any stress-based interpretation of the smaller hippocampal volume observed in the combat-unexposed co-twins of the PTSD veterans would need to explain why the extra stress of military combat and consequent PTSD did not exert any further reduction in hippocampal volume in the PTSD veterans. Indeed, the finding that individuals who were exposed to combat, but did not develop PTSD, had larger hippocampi than individuals who were not exposed to combat but were merely the brothers of combat veterans with PTSD argues strongly against a stress-neurotoxic interpretation of the hippocampal diminution. Nevertheless, further research that includes dizygotic twin pairs is needed to tease apart the contributions of genetics and shared environment to smaller hippocampi in PTSD.

## METHODS

Subjects. All subjects were recruited with the assistance of the Vietnam Era Twin (VET) Registry, which determined zygosity  $^{41}$  and combat status (via military records) of each twin pair. Combat severity was assessed using a standard 18-item combat exposure measure<sup>42</sup>. Complete descriptions of the development and characteristics of the VET Registry have appeared elsewhere<sup>43,44</sup>. All subjects had previously participated in a larger twin study of PTSD at our laboratory; a full description of the recruitment strategy appears elsewhere<sup>45</sup>. Twin pairs in which the combat-exposed brother never had PTSD were recruited directly from the Registry. Owing to competing demands on their time, twin pairs in which the combat-exposed brother had PTSD were unavailable from the Registry. Hence, these pairs were recruited by a mass mailing to Vietnam veterans who had a serviceconnected disability for PTSD; in fact, these pairs better approximated the PTSD veterans from our previous (non-twin) study of hippocampal volume<sup>6</sup> with regard to clinical severity. Although concern might be raised regarding the different sources for the PTSD and non-PTSD twin pairs, the significant correlations observed between PTSD severity and hippocampal volume within the PTSD twin pairs alone mitigates the likelihood that recruitment differences can explain the observed group differences.

The protocol was approved by the Veterans Administration (Manchester, New Hampshire) Institutional Review Board and Human Subjects Subcommittee, and all subjects gave informed written consent prior to participation. PTSD diagnostic statuses of combat-exposed twins and their overall PTSD symptom severity were determined by an experienced doctoral-level psychologist using CAPS<sup>46</sup>. All subjects completed a stressful life events checklist (available upon request) that was designed to quantify the lifetime number of non-combat events that potentially met DSM-IV PTSD A (stressor) criteria. Subjects were also interviewed using the Structured Clinical Interview for DSM-IV (SCID)<sup>47</sup> to determine the presence of other Axis I mental disorders. The Michigan Alcoholism Screening Test (MAST)<sup>48</sup> was used as a measure of lifetime alcohol abuse. Subjects were excluded if they met DSM-IV criteria for a psychotic or bipolar disorder, or non-combat–related PTSD. Due to the high comor-bidity of major depression and substance abuse disorders with PTSD, these disorders did not represent exclusion criteria. The final sample comprised 17 PTSD twin pairs and 23 non-PTSD twin pairs.

MRI image acquisition and volumetric analyses. MRI scanning was performed at the Brigham and Women's Hospital in Boston with a 1.5tesla General Electric Signa System (GE Medical Systems, Milwaukee, Wisconsin) using previously described techniques (see Supplementary Methods online)<sup>6,49</sup>. Whole-brain volume was calculated with automated multistep algorithms described in detail elsewhere<sup>49</sup>. Hippocampus and amygdala were outlined manually on a Sun Microsystems workstation by a rater who was blind to diagnostic information and twin status, using an established procedure for volumetric determination<sup>6,49</sup>. Regional volumes were also expressed as percentages of whole brain volume and reanalyzed to confirm the results of absolute volume analyses. A second blind rater performed volumetric analyses of the hippocampus and amygdala on five random twin pair cases. Reliability assessment of the two raters resulted in the following intraclass correlation coefficients: right hippocampus, 0.96; left hippocampus, 0.92; right amygdala, 0.98; left amygdala, 0.97.

Statistical analyses. All statistical analyses used two-tailed tests. Hippocampus and amygdala volumes were found to be normally distributed in the overall sample based upon a Shapiro-Wilk goodness-of-fit statistic with null hypothesis rejection set at P < 0.15. One case (ExP+) was removed from the analyses because of extreme outlier status on three characteristics of hippocampal morphology (right hippocampus, >2.5 s.d. above the full sample mean, right hippocampus 1.9 s.d larger than left hippocampus, and right hippocampus 1.7 s.d. larger than co-twin). No other subject showed similarly extreme values. This outlier and his twin were removed to avoid obscuring any potentially important correlations between variables. Nevertheless, critical group comparisons were performed both with and without this twin pair, to ensure that the observed differences were not due solely to their exclusion.

Pearson correlations between neuroanatomical volumes on the one hand, and clinical/psychometric characteristics on the other, were performed within PTSD twin pairs only, because of the low, restricted range of PTSD symptom severity in the non-PTSD twin pairs (75% of sample had total CAPS scores  $\leq$ 10). Group differences were tested by twofactor ANOVA with one between-pair factor, diagnosis (P+ versus P– in *Ex* twin), in the exposed twin, and one within-pair factor, combate exposure (Ex versus Ux). As a CAPS score of 65 has been established as an optimal cutoff for creating an unambiguous PTSD group<sup>50</sup>, we conducted ANOVA tests both in the complete sample and in a redefined sub-sample that included only those ExP+ subjects who met this criterion for symptom severity and their twins.

Note: Supplementary information is available on the Nature Neuroscience website.

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# **Competing interests statement**

The authors declare that they have no competing financial interests.

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